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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : A61K 39/395, 38/48, 38/49 // (A61K 39/395, 38:48) (A61K 39/395, 38:49)	A1	(11) International Publication Number: WO 00/13705 (43) International Publication Date: 16 March 2000 (16.03.00)
(21) International Application Number: PCT/EP99/06433 (22) International Filing Date: 1 September 1999 (01.09.99) (30) Priority Data: 98116692.9 3 September 1998 (03.09.98) EP 99104033.8 16 March 1999 (16.03.99) EP (71) Applicant (for all designated States except US): BIOPHARM GESELLSCHAFT ZUR BIOTECHNOLOGISCHEN EN- TWICKLUNG VON PHARMAKA MBH [DE/DE]; Cz- ernyring 22, D-69115 Heidelberg (DE). (72) Inventor; and (75) Inventor/Applicant (for US only): KRIEGELSTEIN, Kerstin [DE/DE]; Köpfelweg 54, D-69118 Heidelberg (DE). (74) Agent: PERREY, Ralf; Müller-Boré & Partner, Grafinger Strasse 2, D-81671 München (DE).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: USE OF TGF-BETA INHIBITORS FOR TREATING CEREBRAL DISORDERS (57) Abstract The present invention relates to the use of a compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons, for treating cerebral disorders, and to pharmaceutical compositions containing said compound and a second compound for disintegrating blood clots.		

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USE OF TGF-BETA INHIBITORS FOR TREATING CEREBRAL DISORDERS

Description

The present invention relates to the use of a compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons, for treating cerebral disorders, and to pharmaceutical compositions containing said compound and a second compound for disintegrating blood clots.

The transforming growth factor β (TGF- β) family contains subspecies TGF- β 1, TGF- β 2 and TGF- β 3, which are widely distributed and contextually acting cytokines with prominent roles in development and cell cycle control (Roberts and Sporn, 1990; Kriegelstein et al., 1995). TGF- β s have been implicated in the regulation of neuronal survival of e.g. motoneurons, sensory and midbrain dopaminergic neurons. The TGF- β isoforms show widely overlapping expression patterns. Nullmutations for each of these isoforms show distinct phenotypes, which, however, are restricted to non-neural tissues (Shull et al., 1992; Kaartinen et al., 1995; Proetzel et al., 1995; Sanford et al., 1997). Mice deficient for the TGF- β receptor type-II (T β R-II) are lethal at E 10.5, i.e. prior to the development of the nervous system.

During the development of the vertebrate nervous system, large numbers of neurons in the central and peripheral nervous system undergo naturally occurring cell death (Oppenheim, 1991). Regulation of neuron survival or death, respectively, requires the concerted actions of sets of molecules, which act cell-extrinsically and -intrinsically to execute or prevent cell death (Pettmann and Henderson, 1998).

Cerebral disorders such as a neurodegenerative disorder or cerebral ischaemiae, result in injury or death of neurons in mammals, and produce motor and/or

cognitive deficits that are often permanent. At present, in most of these cerebral disorders there is no treatment that reliably improves the prognosis of a patient suffering from said disorders.

5 Thus, the technical problem underlying the present invention is to provide a new system imparting protection and therefore survival on predamaged or injured neurons upon a certain cerebral disorder.

10 The solution of the above technical problem is achieved by providing the embodiments characterized in the claims.

15 In particular, the present invention is based on the fact that, beside promoting intact neurons, TGF- β is the major regulator for the execution of predamaged neurons upon a certain cerebral disorder. Accordingly, the present invention relates to the use of a compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons, for treating cerebral disorders in mammals, preferably in man. The term "TGF- β " comprises the subspecies TGF- β 1, TGF- β 2, and TGF- β 3.

20 In a preferred embodiment of the present invention the term "compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons" refers to polyclonal or monoclonal antibodies directed to TGF- β , as TGF- β inhibitors, or to antagonists directed to TGF- β such as compounds having the binding site of a TGF- β receptor, e.g. a TGF- β RII/Fc chimeric protein (T β R-II-Fc),
25 or to proteinaceous or non-proteinaceous compounds which are capable of, e.g. chemically, altering TGF- β in the organism such that the altered TGF- β is rendered incapable of binding to TGF- β receptors, as well as to low molecular weight compounds such as chemical or non-proteinaceous compounds, as TGF- β -antagonists.

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The cerebral disorder includes peripheral and/or CNS-disorders including cerebral and focal ischaemiae such as apoplexy, and neurodegenerative disorders such as ALS. For example, adverse consequences of central nervous system injuries may

be caused by thrombus, embolus, systemic hypotension, hypertension, hypertensive cerebral vascular disease, rupture of an aneurysm, an angioma, blood dyscrasias, cardiac failure, cardiac arrest, cardiogenic shock, septic shock, head trauma, spinal cord trauma, seizure, bleeding from a tumor, or other blood
5 loss. The spinal cord, which is also a part of the central nervous system, is equally susceptible to ischemia resulting from diminished blood flow.

Where the ischemia is associated with a "stroke", it can be either global or focal ischemia, as defined below.

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By "focal ischemia", as used herein in reference to the central nervous system, is meant the condition that results from the blockage of a single artery that supplies blood to the brain or spinal cord, resulting in the death of all cellular elements (pan-necrosis) in the territory supplied by that artery.

15

By "global ischemia", as used herein in reference to the central nervous system, is meant the condition that results from a general diminution of blood flow to the entire brain, forebrain, or spinal cord, which causes the death of neurons in selectively vulnerable regions throughout these tissues. The pathology in each
20 of these cases is quite different, as are the clinical correlates. Models of focal ischemia apply to patients with focal cerebral infarction, while models of global ischemia are analogous to cardiac arrest, and other causes of systemic hypotension.

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A further aspect of the present invention relates to a pharmaceutical composition containing, in pharmaceutically effective amounts, the above defined compound, and a second compound for disintegrating blood clots, and optionally a pharmaceutically acceptable carrier and/or diluent. In a preferred embodiment of the present invention, the second compound is selected from the group consisting of urokinase, thrombin, and tPA (tissue plasminogen activator).
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The treatment regimen is carried out, in terms of administration mode, timing of the administration, in dosage, so that the functional recovery of the patient from

the adverse consequence of the cerebral disorder is improved.

5 The administration of the compound or pharmaceutical composition according to the present invention can be carried out by any standard route and known rule of administration, including intravenously, orally, or intracerebrally. The dosage of such antibodies or antagonists according to the present invention lies preferably within a range of circulating concentrations that include the ED₅₀ with little or no toxicity. The dosage can vary within this range depending upon the dosage form employed and the route of administration utilized.

10

The formulation of the above defined compound or the pharmaceutical composition according to the present invention does not exhibit any specific restriction, and may be prepared e.g. in the form of tablets, suppositories, solutions, or retarded release-formulations. The antibodies or antagonists for example can be formulated for parenteral administration by injection, for example, by bolus injection or continuous infusion. Formulations for injection can be presented in unit dosage form, for example, in ampules or in multi-dose containers, with an added preservative.

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20 The therapeutic antibodies or antagonists of the invention can also contain a carrier or excipient and/or diluent, many of which are known to skilled artisans. Excipients which can be used include buffers (for example, citrate buffer, phosphate buffer, acetate buffer, and bicarbonate buffer), amino acids, urea, alcohols, ascorbic acid, phospholipids, proteins (for example, serum albumin),
25 EDTA, sodium chloride, liposomes, mannitol, sorbitol, and glycerol.

25

It is well known in the medical arts that dosages for any one patient depend on many factors, including the general health, sex, weight, body surface area, and age of the patient, as well as the particular compound to be administered, in the time and route of administration, and other drugs being administered concurrently. Determining the most appropriate dosage and route of administration is well within the abilities of a skilled physician.

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The figures show:

5 Fig. 1: Specificity of anti-TGF- β -antibody as well as morphology and neuron numbers of chick ganglia at E10, i.e. after the main period of ontogenetic ciliary neuron death. Embryos were treated daily from E6 to E9 with 10 μ g of a monoclonal mouse anti-TGF- β 1, - β 2, - β 3 antibody (anti-TGF- β ; obtained from Genzyme) applied to the chorionic allantoic membrane, an identical dose of a mouse IgG with or without rhodamine conjugation, or 2 μ g of a recombinant human TGF- β RII/Fc chimeric protein (T β R-II-Fc; obtained from R&D Systems). Ciliary ganglia were 10 dissected at E10, fixed in Bouin's solution, and paraffin-embedded. (A) Digitalized photograph of a dot blot showing the specificity of the anti-TGF- β -antibody. (B) Photographs of sections (H.E. stain or fluorescence microscopy) through the largest circumference of ganglia from 15 embryos with the indicated treatments. Bar = 100 μ m. (C) Graphic representations of neuron counts in ganglia with the indicated treatments. Data are given as mean \pm SEM (n=5), *p* values derived from Student's t-test are ****p*<0.001 for increased neuron numbers as compared to untreated embryos. 20

Fig. 2: Neuron numbers and apoptosis in ciliary ganglia (CG), dorsal root ganglia (L3; DRG), and the lumbar motoneuron column of embryos treated with anti-TGF- β . (A) Graphic representation of neuron counts at E10 following daily treatments from E6 - E9. (B) Photographs of 25 TUNEL labelings of CG, DRG, and lumbar motoneurons at E8 following treatment with anti-TGF- β -antibody at E6 and E7 (lower panel) as compared to untreated embryos (upper panel). Arrowheads point at TUNEL-positive nuclei. (C) Graphic representation of a quantitative analysis of TUNEL-positive neurons. Data in (A) and (C) are given as 30 mean \pm SEM [n=5 in (A), n=3 in (C)], *p* values derived from Student's t-test are ***p*<0.01, ****p*<0.001 for increased (A) or decreased (C) neuron numbers as compared to untreated embryos.

- Fig.3: Graphic representation of neuron counts showing phenotype rescue of CG and motoneurons in anti-TGF- β -treated embryos by administration of TGF- β . Treatment with TGF- β 3 (2 μ g) at E10 following administration of anti-TGF- β from E6 to E9 (grey bars) produced neuron numbers at E14 (dashed bars) identical to control embryos (white bars). Continuing treatment with anti-TGF- β from E10 to E13 (black bars) fully maintained neuron numbers. Data are given as mean \pm SEM (n=5), p values derived from Student's t-test are ** p <0.01, *** p <0.001 for increased neuron numbers as compared to controls, + + + p <0.001 for decreased neuron numbers as compared to antibody-treated embryos.
- Fig. 4: Qualitative microscopic and quantitative analyses showing that anti-TGF- β rescues lumbar DRG and motoneurons following unilateral limb bud ablation. (A) Photographs of microscopic analyses of H.E. stained sections. Bar = 200 μ m. (B) Graphic representation of neuron counts at E7 following the indicated treatments. Data are given as mean \pm SEM (n=5), p values derived from Student's t-test are *** p <0.001 for increased neuron numbers following limb bud ablation.

The present invention is illustrated by the following non-limiting example.

EXAMPLE

Neuron counting experiments

Chick embryos were treated daily with 50 μ l (phosphate buffered saline, supplemented with 200 units/ml penicillin, 100 μ g/ml streptomycin, 200 μ g/ml neomycin (each obtained from Gibco) containing either 10 μ g of a pan anti-TGF- β (obtained from Genzyme) or 2 μ g T β R-II-Fc (obtained from R&D Systems), or 10 μ g mouse IgG (obtained from Sigma) by administration onto the chorio-

allantoic membrane through a window in the shell as described in Oppenheim et al., 1993. Embryos were killed on either E8, E10 or E14. The CG and the lumbar spinal cord with attached DRG were dissected, fixed in Bouin's solution and paraffin embedded. All tissues were sectioned at 8 to 10 μ m and stained with H.E. Neurons were counted in every fifth or tenth section as described in Oppenheim et al., 1993.

TUNEL labeling

Sections were stained according to the manufacturer's instructions (Boehringer Mannheim) and counter-stained with H.E. TUNEL positive nuclei of large neurons were counted on every tenth section and expressed as ratio of total neuron of this particular neuron population.

Unilateral limb bud ablation

Ablations of hind limb buds of chick embryos were performed at E3. Embryos were treated daily (10 μ g; E3 - E6) as indicated above with either anti-TGF- β or IgG and processed at E7 for H.E. staining and neuron counting.

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Anti-TGF- β -antibody neutralizes endogenous TGF- β during the main period of ontogenetic neuron cell death

In the developing nervous system of chick and mammals, TGF- β 2 and - β 3 as well as their receptors occur in many populations of postmitotic neurons, including CG, DRG, and motoneurons (Krieglstein et al., 1998). A monoclonal antibody recognizing all three isoforms of TGF- β (anti-TGF- β) was used to neutralize endogenous TGF- β during the main period of ontogenetic cell death (E6 - E9) of CG and DRG as well as spinal motoneurons. Ten μ g of this antibody neutralize 10 ng of each available recombinant TGF- β including chicken TGF- β 3 (R&D Systems) to >98%, as assessed in a bioassay using mink lung epithelial cells (Abe et al., 1994; Krieglstein and Unsicker, 1995). The specificity of this monoclonal anti-TGF- β antibody was ascertained by dot blot using 40 other

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growth factors (Fig. 1A). Fig. 1B shows that daily treatments with anti-TGF- β resulted in an increased size of CG at E10. A corresponding control IgG, which is rhodamine-conjugated, could be detected throughout the entire embryo. A T β R-II-Fc chimeric protein employed as an alternative tool to capture endogenous TGF- β likewise increased the size of CG at E10 compared to untreated or IgG-treated embryos. Neuron counts (Fig. 1C) revealed that the increased size of CG is due to a significant increase in neuron numbers. The counted values of approximately 6,000 reflect neuron numbers prior to ontogenetic neuron death at E6 (Landmesser and Pilar, 1974) showing that elimination of TGF- β signalling prevents the execution of ontogenetic neuron death in the chick CG.

Neutralizing TGF- β interferes with ontogenetic cell death in sensory and motoneurons

Sensory and motoneurons were analyzed to investigate whether neutralizing TGF- β also interfered with the development of other neuron populations during the period of ontogenetic neuron death. Neuron counts in the L3 DRG and in the lumbar motoneuron column revealed a significant increase in neuron numbers at E10 following daily antibody treatments from E6 to E9 (Fig. 2A). Numbers of motoneurons in antibody-treated embryos almost matched neuron numbers prior to the onset of ontogenetic neuron death (Hamburger et al., 1975). To determine whether the increase in numbers of neurons upon anti-TGF- β -treatment resulted from reduced ontogenetic neuron death, TUNEL labelling was performed to stain for apoptotic cells. Counting the numbers of TUNEL-positive cells at E8, which is the peak of cell death for all three neuron populations investigated, revealed a significant reduction of the proportion of apoptotic cells (Fig. 2B, C). This shows that endogenous TGF- β is essential for executing the cell death program in developing neurons.

Ontogenetic neuron death occurs after termination of antibody treatment and substitution of endogenous TGF- β

Furthermore, it was investigated whether ontogenetic neuron death would occur

at a later time point following termination of the antibody treatment and substitution of endogenous TGF- β . Fig. 3 shows that a single dose of TGF- β 3 at E10 after the end of the antibody treatment (E6 -E9) resulted in a significant reduction of neuron numbers in the CG and motoneuron column. Numbers of neurons matched those of control embryos at the end of the main ontogenetic cell death period (E10) as well as embryos at E14. Therefore, TGF- β mediates death of selected neurons, especially those that are destined to die. In contrast, prolongation of the antibody treatment beyond the period of ontogenetic death stabilized neuron numbers at increased levels (Fig. 3).

Treatment of limb bud-ablated embryos with anti-TGF- β rescues both motoneurons and DRG neurons

Multiple evidence suggests that the extent of ontogenetic neuron death is crucially regulated by the target (Olek and Edwards, 1978; Pittman and Oppenheim, 1979; Pilar et al., 1980; Thoenen and Edgar, 1985), best exemplified by the classic experiments of V. Hamburger (Hollyday and Hamburger, 1976). Extirpation of the hind limb bud in the E3 chick embryo, i.e. prior to the arrival and synapse formation of motoneuron axons in the target (Dahm and Landmesser, 1988, 1991), causes death of almost all lumbar motoneurons and sensory neurons by E7 (Oppenheim et al., 1978) (Fig. 4A). Qualitative microscopic analysis (Fig. 4A) documents that treatment of limb bud-ablated embryos with anti-TGF- β rescues both motoneurons as well as DRG neurons. Quantification reveals that the lumbar motoneuron population in limb-ablated embryos could surprisingly be rescued by anti-TGF- β treatment to approximately half the half the size as compared to the non-operated control side (Fig. 4B).

Summary

The above results show that TGF- β exerts a key role both in the regulation of ontogenetic neuron death of three major classes of peripheral and CNS neurons as well as in the regulation of cell death following target ablation. Parasympathetic CG, sensory DRG, and spinal lumbar motoneurons in chick embryos have

their main period of ontogenetic neuron death between E6 and E9, lose approximately 50% of the total cells generated, but require largely distinct and only partly overlapping target-derived trophic molecules to secure the survival of optimal cell numbers. CG neurons are maintained by CNTF/GPA, DRG neurons mainly by neurotrophins, and motoneurons by GDNF, HGF, neurotrophins, IGF-I and others (Nishi, 1994; Heller et al., 1995; Lewin and Barde, 1996; Oppenheim, 1996). In motoneurons, even a cocktail of numerous trophic molecules cannot fully maintain the population. The example of the present invention demonstrates that the elimination of endogenous TGF- β or TGF- β signaling, respectively, results in rescuing effects, which are distinct from neurotrophic factor treatments in terms of (i) the broad spectrum of responsive populations and (ii) magnitude of effect, which encompasses virtually all neurons of a given population. Therefore, the lack of TGF- β is able to rescue all neurons destined to die. The elimination of TGF- β is superior to any of known manipulations of the molecular cascade involved in neuron cell death in that neuron populations are fully maintained.

The example shows that ontogenetic neuron death of ciliary, dorsal root and spinal motoneurons is largely prevented and neuron losses following limb bud ablation are greatly reduced following neutralization of endogenous TGF- β by an anti-TGF- β antibody in chick embryos. Likewise, preventing TGF- β signaling by treatment with a T β R-II fusion protein during the period of ontogenetic cell death in the ciliary ganglion rescues all neurons, which normally die. TUNEL staining revealed decreased numbers of apoptotic cells. Application of exogenous TGF- β rescued the deprived phenotype. Thus, TGF- β in contrast to any single neurotrophic factor acting in the above systems plays a key role in regulating ontogenetic neuron death as well as cell death following neuronal target deprivation.

The above results demonstrate the pivotal role of TGF- β in regulating neuron survival and death. The data given above show that TGF- β is an essential trigger of neuronal death and thus, compounds according to the present invention which are capable of inhibiting the biological activity of TGF- β as e.g. TGF- β -specific antibodies are exceptionally good therapeutic tools that prevent TGF- β

signalling in the treatment of stroke, neurotrauma, and neurodegenerative diseases.

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"Use of TGF-inhibitors for treating cerebral disorders"

Claims

1. Use of a compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons, for the preparation of a medicament for treating cerebral disorders.
- 5 2. Use according to claim 1, wherein said compound is an antibody or an antagonist directed to TGF- β .
3. Use according to claim 1 or 2, wherein said disorder is a peripheral and/or CNS-disorder.
- 10 4. Use according to claim 3, wherein said disorder is a cerebral ischemia or a neurodegenerative disorder.
- 15 5. A pharmaceutical composition containing, in pharmaceutically effective amounts, a compound capable of substantially inhibiting the biological activity of TGF- β on predamaged neurons, and a second compound for disintegrating blood clots, and optionally a pharmaceutically acceptable carrier and/or diluent.
- 20 6. The pharmaceutical composition according to claim 5, wherein said compound is an antibody directed to TGF- β or a compound having the binding site of a TGF- β receptor.
- 25 7. The pharmaceutical composition according to claim 5 or 6, wherein said second compound is selected from the group consisting of urokinase, thrombin, and tissue plasminogen activator.

INTERNATIONAL SEARCH REPORT

International Application No.
PCT/EP 99/06433

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K39/395 A61K38/48 A61K38/49 //(A61K39/395,38:48),
(A61K39/395,38:49)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 10611 A (HARVARD COLLEGE) 20 April 1995 (1995-04-20) page 15, line 29 -page 17, line 35 claims 1-5,13,22,27,35,36 ---	1-4
X	WO 93 19783 A (WHITTIER INSTITUTE FOR DIABETES AND ENDOCRINOLOGY) 14 October 1993 (1993-10-14) the whole document --- -/-	1-4



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Date of the actual completion of the international search

25 November 1999

Date of mailing of the international search report

09/12/1999

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INTERNATIONAL SEARCH REPORT

Int. Appl. No.
PCT/EP 99/06433

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>K. FLANDERS ET AL.: "Transforming growth factor-betas in neurodegenerative disease." PROGRESS IN NEUROBIOLOGY, vol. 54, no. 1, January 1998 (1998-01), pages 71-85, XP000857248 Oxford, GB page 76, right-hand column, line 17 - line 63 page 77, right-hand column, line 37 - line 64 page 81, left-hand column, line 38 - line 52 page 81, right-hand column, line 35 - line 52 conclusions</p>	1-4
A	<p>K. KRIEGLSTEIN ET AL.: "Distinct modulatory actions of TGF-beta and LIF on neurotrophin-mediated survival of developing sensory neurons." NEUROCHEMICAL RESEARCH, vol. 21, no. 7, July 1996 (1996-07), pages 843-850, XP000857252 New York, NY, USA abstract</p>	1-4
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